
**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION**
Washington, D.C. 20549

FORM SD

Specialized Disclosure Report

Polestar Automotive Holding UK PLC
(Exact Name of Registrant as Specified in Charter)

England and Wales
(State or other jurisdiction of incorporation or organization)

001-41431
(Commission File Number)

**Assar Gabrielssons Väg 9
405 31 Gothenburg, Sweden**
(Address of principal executive offices) (Zip Code)

Thomas Ingenlath
Chief Executive Officer
Tel: +1 949 735 1834
(Name and telephone number, including area code, of the person to contact in connection with this report.)

Check the appropriate box to indicate the rule pursuant to which this form is being filed:

- Rule 13p-1 under the Securities Exchange Act (17 CFR 240.13p-1) for the reporting period from January 1 to December 31, 2022.
- Rule 13q-1 under the Securities Exchange Act (17 CFR 240.13q-1) for the fiscal year ended____.
-
-

Section 1 - Conflict Minerals Disclosure**Item 1.01 Conflict Minerals Disclosure and Report**

A copy of the Conflict Minerals Report of Polestar Automotive Holding UK PLC (the “Company”) for the reporting period from January 1, 2022 to December 31, 2022 is filed as Exhibit 1.01 hereto. The Company’s Conflict Minerals Report is also publicly available on the Company’s website at <https://www.polestar.com/global/legal/ethics>. The reference to the Company’s website is provided for convenience only, and its contents are not incorporated by reference into this Form SD and the Conflict Minerals Report nor deemed filed with the U.S. Securities and Exchange Commission.

Item 1.02 Exhibit

The Company has filed, as an exhibit to this Form SD, the Conflict Minerals Report required by Item 1.01.

Section 2 – Resource Extraction Issuer Disclosure**Item 2.01 Resource Extraction Issuer Disclosure and Report**

Not applicable

Section 3 - Exhibits**Item 3.01 Exhibits**

| <u>Exhibit No.</u> | <u>Description</u> |
|--------------------|---|
| 1.01 | Conflict Minerals Report as required by Items 1.01 and 1.02 of this Form. |

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has caused this report to be signed on its behalf by the undersigned, hereunto duly authorized.

**POLESTAR AUTOMOTIVE HOLDING UK
PLC**

By: /s/ Thomas Ingenlath Date: May 31, 2023
Name: Thomas Ingenlath
Title: Chief Executive Officer

By: /s/ Johan Malmqvist Date: May 31, 2023
Name: Johan Malmqvist
Title: Chief Financial Officer

Polestar

Conflict Minerals Report by Polestar

For Year Ending December 31, 2022

Company Overview

This report has been prepared by the management of Polestar Automotive Holding UK PLC (herein referred to as “Polestar,” the “Company,” “we,” “us,” or “our”). The information includes the activities of all majority-owned subsidiaries and variable interest entities that are required to be consolidated.

Polestar is a pure play, premium electric performance car brand headquartered in Sweden, designing products engineered to excite consumers and drive change. Polestar believes that it defines market-leading standards in design, innovation and sustainability. Polestar was established as a premium electric car brand by Volvo Cars and Geely in 2017. Polestar benefits from the technological, engineering and manufacturing capabilities of these established global car manufacturers.

Forward-Looking Statements

This Report includes statements that express Polestar’s opinions, expectations, beliefs, plans, objectives, assumptions or projections regarding future events or future results and therefore are, or may be deemed to be, “forward-looking statements” as defined in Section 27A of the U.S. Securities Act of 1933, as amended, and Section 21E of the Securities Exchange Act of 1934, as amended, that involve significant risks and uncertainties. These forward-looking statements can generally be identified by the use of forward-looking terminology, including the terms “believes,” “estimates,” “anticipates,” “expects,” “seeks,” “projects,” “intends,” “plans,” “may,” “will” or “should” or, in each case, their negative or other variations or comparable terminology. These forward-looking statements include all matters that are not historical facts. They appear in a number of places throughout this Report and include statements regarding Polestar’s intentions, beliefs or current expectations concerning, among other things: the due diligence of suppliers, risk mitigation measures, and efforts to increase transparency through data collection and risk evaluation. These forward-looking statements involve a number of risks, uncertainties (some of which are beyond Polestar’s control) or other assumptions that may cause actual results or performance to be materially different from those expressed or implied by these forward-looking statements. These risks and uncertainties include, but are not limited to, those factors described in the section entitled “Risk Factors” and “Cautionary Note Regarding Forward-Looking Statements” in Polestar’s Form 20-F, and other documents filed, or to be filed, with the SEC by Polestar. Should one or more of these risks or uncertainties materialize, or should any of the assumptions prove incorrect, actual results may vary in material respects from those projected in these forward-looking statements. Polestar will not undertake any obligation to update or revise any forward-looking statements, whether as a result of new information, future events or otherwise, except as may be required under applicable securities laws.

Introduction

For the 2022 calendar year, Polestar determined that tin, tungsten, tantalum, and/or gold (3TGs) were necessary to the functionality or production of products that were manufactured or contracted to be manufactured. Therefore, Polestar conducted a reasonable country of origin inquiry in good faith to determine whether any of the 3TGs in its products originated from the Democratic Republic of the Congo (DRC), any adjoining country of the DRC, or from other “Conflict-Affected and High-Risk Areas” (a group of countries listed at the following website, which is regularly updated by Rand Europe: cahraslist.net, and, collectively with the DRC and its adjoining countries, the “Covered Countries”).

Based on the country of origin data, Polestar believes its products could contain 3TGs that may have originated in the Covered Countries and, therefore, in accordance with Section 1502 of the Dodd-Frank Wall Street Reform and Consumer Protection Act (from here on referred to “Section 1502 of the Dodd-Frank Act” or “the Rule”), due diligence was performed on the source and chain of custody of the 3TGs in question to determine whether its products are “conflict free or responsibly sourced.” The Company designed its due diligence measures to conform, in all material respects, with the internationally recognized due diligence framework of the Organization for Economic Co-Operation and Development (OECD) Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas and the related supplements for gold, tin, tantalum, and tungsten (the “OECD Guidance”).

Polestar is committed to complying with the requirements and upholding responsible sourcing practices. As such the Company has put into place a robust due diligence program to ensure its contributions to upholding human rights and responsible practices across the supply chain.

Conflict Minerals Program & Policy

The Company has actively engaged with suppliers with respect to the use of conflict minerals. Polestar adopted a conflict minerals position articulating the conflict minerals supply chain due diligence process and the Company’s commitments to reporting obligations regarding conflict minerals. The policy is available online, and can be found here: <https://www.polestar.com/global/legal/ethics>

Reasonable Country of Origin Inquiry

To determine whether necessary 3TGs in products originated in Conflict-Affected and High-Risk Areas, Polestar retained Assent Inc. (“Assent”), a third-party service provider, to assist in reviewing its supply chain and identifying risks. Polestar provided a list composed of suppliers and parts associated with the in-scope products to Assent for upload to the Assent Compliance Manager. To trace materials, and demonstrate transparency procured by the supply chain, Polestar utilized the Conflict Minerals Reporting Template (CMRT) Version 6.22 or higher to conduct a survey during May 2022 to May 2023 of all in-scope suppliers (the “CMR campaign”).

For the 2022 calendar year, Volvo Cars has manufactured Polestar cars and contracted the suppliers of components and parts used in Polestar 2 and 3. During the CMR campaign there was 1 supplier, Polestar’s business partner Volvo Cars, in scope of the conflict minerals program/survey. Volvo Cars provided a completed CMRT. The Company’s total response rate for this reporting year was thus 100%.

In addition, Polestar conducted further actions to identify Tier 2 suppliers, which are Volvo Cars’ direct material suppliers, to track program gaps of Polestar 2 and 3 and discuss with our Tier 1 supplier and business partner future improvement opportunities.

Polestar

Polestar's ambition is to use Assent Compliance Manager, a software-as-a-service (SaaS) platform provided by Assent, as it enters into further agreements with other manufacturing business partners as well as for direct supplier sourcing.

The Assent Compliance Manager enables users to complete and track supplier communications and allows suppliers to upload completed CMRTs directly to the platform for validation, assessment and management.

The Assent Compliance Manager also provides functionality that meets the OECD Guidance process expectations by evaluating the quality of each supplier response and assigning a health score based on the supplier's declaration of process engagement. Additionally, the metrics provided in this report, as well as the step-by-step process for supplier engagement and upstream due diligence investigations, are managed through this platform.

Via the Assent Compliance Manager and Assent team, the Company requested that all suppliers complete a CMRT. Training and education to guide suppliers on best practices and the use of this template was included. Assent monitored and tracked all communications in the Assent Compliance Manager for future reporting and transparency. According to the process, Polestar will contact suppliers that are unresponsive to Assent's communications during the diligence process and request these suppliers to complete the CMRT and submit it to Assent.

Polestar's program includes automated data validation on all submitted CMRTs. The goal of data validation is to increase the accuracy of submissions and identify any contradictory answers in the CMRT. This data validation is based on questions within the declaration tab of the CMRT, which helps identify areas that require further classification or risk assessment, as well as understand the due diligence efforts of Tier 1 suppliers. The results of this data validation contribute to the program's health assessment and are shared with the suppliers to ensure they understand areas that require clarification or improvement.

All submitted declaration forms are accepted so that data is retained, but they are classified as valid or invalid based on a set criteria of validation errors (see appendix C for CMRT validation criteria). Suppliers are contacted regarding invalid forms and are encouraged to correct validated errors to resubmit a valid form. Suppliers are provided with guidance on how to correct these validation errors in the form of feedback to their CMRT submission, training courses, and direct engagement help through Assent's multilingual Supplier Experience team. Since some suppliers may remain unresponsive to feedback, Polestar tracks program gaps to account for future improvement opportunities.

Through reasonable due diligence practices, Polestar was able to take advantage of a broader set of country-of-origin data to complement efforts in establishing transparency in the supply chain. Based on the findings, Polestar can determine all the possible countries minerals (such as 3TGs) used in its product originated from. As such, Polestar can then perform further due diligence on the source and chain of custody of the minerals in question.

Due Diligence

Polestar designed its due diligence measures to conform, in all material respects, with the framework in the OECD Guidance and the related supplements. The program aligns with the five steps for due diligence that are described by the OECD Guidance and the Company continues to evaluate market expectations for data collection and reporting to achieve continuous improvement opportunities.

Polestar

Due diligence requires the Company's necessary reliance on data provided by direct suppliers and third-party audit programs. There is a risk of incomplete or inaccurate data as the process cannot be fully owned by the Company. However, through active risk identification, and risk assessment, as well as continued outreach and process validation, risk gaps can be mitigated. This aligns with industry standards and market expectations for downstream companies' due diligence.

1) Establish Strong Company Management Systems

Internal Compliance Team

Polestar has recently completed its first CMR campaign and aims to establish a cross-functional Conflict Minerals Compliance Team led by its Global Procurement Sustainability Lead. The Conflict Minerals Compliance Team will be responsible for implementing the conflict minerals compliance strategy and briefing senior management about the results of these due diligence efforts.

Polestar also works with its service provider Assent to assist with evaluating supply chain information regarding 3TGs, identifying potential risks, and in the development and implementation of additional due diligence steps that Polestar will undertake with suppliers and/or respective stakeholders about conflict minerals.

Polestar leverages Assent's Managed Services to work with dedicated program specialists who support Polestar's conflict minerals program. Polestar communicates regularly with the Assent team to receive updates on program status.

Control Systems

Polestar expects all manufacturers and suppliers to have policies and procedures in place to ensure that 3TGs used in the production of the products sold to Polestar are "conflict free or responsibly sourced." This means that the products should not contain minerals (3TGs) sourced from areas that have been identified to be in the presence of widespread human rights abuses and violations of law either directly or indirectly. Polestar expects direct suppliers to provide information on the origin of the 3TGs contained in components and materials supplied, including sources of 3TGs that are supplied to them from lower-tier suppliers.

Polestar's Code of Conduct for Business partners applies to all direct suppliers and outlines certain expected behaviors and practices. This code of conduct is based on industry and internationally accepted principles such as the United Nations Guiding Principles on Business and Human Rights and the OECD Due Diligence Guidance. The Code of Conduct for Business partners is provided to all direct suppliers. If a supplier does not meet the Company's requirements, the relationship with this supplier will be evaluated. The Code of Conduct for Business partners is reviewed annually to ensure it continues to align with industry best practices.

For the 2022 calendar year, Volvo Cars has contracted the suppliers manufacturing components and materials used in Polestar 2 and 3, and these suppliers have agreed to Volvo's Code of Conduct for Business partners, which contains principles similar to Polestar's Code of Conduct for Business partners.

Polestar

Supplier Engagement

Polestar has a strong relationship with its Tier 1 direct supplier Volvo Cars and is also, through Volvo Cars, tracks the response status of Tier 2 suppliers. Polestar's Tier 1 and Tier 2 suppliers are able to leverage Assent's team of supplier support specialists to ensure they receive appropriate support and understand how to properly complete a CMRT. Tier 1 and Tier 2 suppliers are provided guidance in their native language, if needed.

For the 2022 calendar year, Polestar engaged with Volvo Cars directly to request a valid (free of validated errors) CMRT for the products that Volvo Cars supplies to Polestar. Polestar's ambition is to engage with suppliers directly to request such CMRT for the products they supply to Polestar, as it enters into further direct supply agreements with other parties.

With respect to the OECD requirement to strengthen engagement with suppliers, Polestar will develop an internal procedure that includes a supplier risk identification process that then leads to further steps of supplier engagement in the form of escalations.

Additionally, the Company's rules on conflict minerals are included in the Code of Conduct for Business Partners, which is part of supplier contracts, requiring new suppliers to read and accept the rules as a requirement of doing business with Polestar. When entering into or renewing supplier contracts, a clause is added that requires suppliers to provide information about the source of 3TGs and smelters.

Polestar places a strong emphasis on supplier education and training. To accomplish this, Assent's online resources will be leveraged, and all in-scope suppliers will be provided with access to the Assent library of conflict minerals training and support resources. Also, Assent's automated feedback process that notifies suppliers of risks associated with their CMRT submission serves to educate suppliers of certain conflict minerals' risks.

Polestar believes that the combination of the Code of Conduct for Business partners, the Conflict Minerals Position, and direct engagement with suppliers for conflict minerals training and support constitute a strong supplier engagement program.

Grievance Mechanisms

Polestar established grievance mechanisms whereby employees, suppliers and others outside Polestar can report grievances, suspected violations or other concerns regarding conflict minerals to the Company,. Suppliers and others outside of Polestar can direct such reports via the whistleblowing channel SpeakUp, available in the Code of Conduct for Business partners, on Polestar's external website and in other communications with suppliers. Please see the following link: <https://www.polestar.com/global/legal/ethics>

Violations or grievances at the industry level can be reported to the RMI directly as well. This can be done at <http://www.responsiblemineralsinitiative.org/responsible-minerals-assurance-process/grievance-mechanism/>

Maintain Records

Polestar has adopted a policy to retain relevant documentation for a period of five years. Through Assent, a document retention policy to retain conflict minerals related documents, including supplier responses to CMRTs and the sources identified within each reporting period, has been implemented. Polestar stores all the information and findings from this process in a database that can be audited by internal or external parties.

Supplier Risk Evaluation

Risks associated with Tier 1 suppliers' due diligence processes as well as to some extent Tier 2 suppliers is assessed by their responses on a CMRT, which the Assent Compliance Manager identifies automatically based on established criteria. These risks are addressed by Assent staff and members of Polestar's internal Conflict Minerals Team, who engage with suppliers to gather pertinent data and ask for corrective actions if needed, performing an overall assessment of the supplier's conformity status, which is referred to as "conflict minerals status."

Risks at the supplier level may include non-responsive suppliers or incomplete CMRTs. In cases where a company-level CMRT (such as when a company declares there are no 3TGs in any of its products) is submitted, Polestar is unable to determine if all the specified smelters/refiners were used for 3TGs in the products supplied to the Company.

Assent's supplier risk assessment (flagging suppliers' risk as high, medium, low) identifies problematic suppliers in a company's supply chain. The risk assessment is derived from the smelter validation process, which establishes risk at the smelter level via an analysis that considers multiple conflict minerals factors.

Smelter/Refiners Risk Evaluation

Other supply chain risks were identified by assessing the due diligence practices and audit status of smelters/refiners identified in the supply chain by upstream suppliers that listed mineral processing facilities on their CMRT declarations. Assent's Smelter validation program compared listed facilities to the list of smelters/refiners consolidated by the RMI to ensure that the facilities met the recognized definition of a 3TGs processing facility that was operational during the 2022 calendar year.

Assent determined if the smelter had been audited against a standard in conformance with the OECD Guidance, such as the Responsible Minerals Assurance Process (RMAP). Polestar does not have a direct relationship with smelters/refiners and does not perform direct audits of these entities.

Smelters that are conformant to RMAP audit standards are considered to have their sourcing validated as "conflict free or responsibly sourced." In cases where the smelter/refiner's due diligence practices have not been audited against the RMAP standard or they are considered non-conformant by RMAP, further due diligence steps are followed to notify suppliers reporting these facilities. Smelters/refiners are actively monitored to proactively identify other risks pertaining to conflict minerals.

Each facility that meets the definition of a smelter or refiner of a 3TG mineral is assessed according to red-flag indicators defined in the OECD Guidance. Assent uses numerous factors to determine the level of risk that each smelter poses to the supply chain by identifying red flags. These factors include:

- Geographic proximity to Covered Countries.
- Known mineral source country of origin.
- RMAP audit status.
- Credible evidence of unethical or conflict sourcing.
- Peer assessments conducted by credible third-party sources.
- Sanctions risks

Risk mitigation activities are initiated whenever a supplier's CMRT shows facilities of concern. Through Assent, suppliers with submissions that included any smelters of concern were immediately provided with feedback instructing suppliers to take their own independent risk mitigation actions. Examples include the submission of a product specific CMRT to better identify the connection to products that they supply to Polestar. Additional escalatory steps may be taken to address any continued sourcing from these smelters of concern. Suppliers are given clear performance objectives within reasonable timeframes with the goal of progressive elimination of these smelters of concern from the supply chain.

In addition, suppliers are guided to the educational materials on mitigating the risks identified through the data collection process.

Suppliers are also evaluated on program strength, which assists in making key risk mitigation decisions as the program progresses. The criteria used to evaluate the strength of the program is based on certain questions in the CMRT related to the suppliers' conflict minerals practices and policies.

3) Design & Implement A Strategy to Respond to Risks

Polestar together with Assent, developed processes to assess and respond to the risks identified in the supply chain. Polestar has a risk management plan, through which the conflict minerals program will be implemented, managed, and monitored. As the program progresses, escalations will be sent to non-responsive suppliers to outline the importance of a response via CMRTs and to outline the required cooperation for compliance with the conflict minerals rules and the Company's expectations.

Feedback on supplier submissions is given directly to suppliers and educational resources are provided to assist suppliers in corrective action methods or to improve their internal programs. In cases where suppliers have continuously been non-responsive or are not committed to corrective action plans, Polestar will assess if replacing that supplier is feasible. The results of the program and risk assessment are shared with the Conflict Minerals Team and the Polestar's Management Team to ensure transparency within the Company.

For the 2022 calendar year, Volvo Cars has contracted the suppliers manufacturing components and materials used in Polestar 2. Together with Volvo Cars, Polestar will discuss the outcome of the CMR campaign and align on any necessary risk mitigation actions.

4) Carry Out Independent Third-Party Audit of Supply Chain Due Diligence at Identified Points in the Supply Chain

Polestar does not have a direct relationship with any 3TG smelters/refiners and does not perform or direct audits of these entities within the supply chain. Instead, Polestar relies on third-party audits of smelters/refiners (industry recognized audit/assessment programs). As an example, RMAP uses independent private-sector auditors, and audits the source, including the mines of origin, and the chain of custody of the conflict minerals used by smelters/refiners that agree to participate in the program.

Assent directly engages smelters/refiners that are not currently enrolled in an industry recognized audit/assessment program to encourage their participation and for those smelters/refiners already conformant to the corresponding program's standards, Assent thanks them for their efforts on behalf of its compliance partners. Polestar is a signatory of these communications in accordance with the requirements of downstream companies detailed in the OECD Guidance.

Polestar

Through Polestar's membership with the RMI, smelters/refiners have been encouraged to participate in the RMAP. Any smelters/refiners that were reported by suppliers who were not part of the RMAP were also contacted directly by Assent to encourage them to participate in the RMAP.

5) Report Annually on Supply Chain Due Diligence

This report, which covers the year ended December 31, 2022, is Polestar's first Conflict Mineral Report. This report will be made available in the Ethics section of Polestar's website at <https://www.polestar.com/global/legal/ethics>. Information found on or accessed through this website is not considered part of this report and is not incorporated by reference herein. Polestar has also publicly filed a Form SD and this report with the U.S. Securities and Exchange Commission (SEC).

This year Polestar has also considered impacts from the EU Conflict Minerals Regulation when disclosing details with regards to due diligence efforts. Polestar will continue to expand efforts both for transparency through the data collection process and risk evaluation, as well as the disclosure of efforts through the form of public report.

Due Diligence Results

Supply chain outreach is required to identify the upstream sources of origin of tin, tantalum, tungsten, and gold. According to the industry standard process, CMRTs are sent to and requested from Tier 1 suppliers, who are expected to follow this process until the smelter and refiner sources are identified. However please note that below number are the results referring to Tier 2 supplier for the outreach conducted by Polestar for the 2022 reporting year. Tier 1 response rate is 100% and that is Volvo Cars that sourcing and manufacturing Polestar 2 and 3 as well as being responsible for direct material sourcing.

| Number of in-scope suppliers | Response rate |
|------------------------------|---------------|
| 49 | 83.67% |

Upstream Data Transparency

Appendix A includes all smelters/refiners that Tier 1 and Tier 2 suppliers listed in completed CMRTs that met the recognized definition of a 3TGs processing facility and were operational during the 2022 calendar year. As is a common practice when requests are sent upstream in the supply chain, those who purchase materials from smelters may not be able to discern exactly which company's product lines the materials may end up in. As a result, those providing the smelters/refiners have the practice to list all smelters/refiners they may purchase from within the reporting period. Therefore, the smelters/refiners (as sources) listed in Appendix A are likely to be more comprehensive than the list of smelters/refiners which processed the 3TGs contained in Polestar's products.

Suppliers that identified these specific smelters of concern on their CMRT were contacted in accordance with the OECD Guidance, as stipulated in the previous sections.

| Status | Number of identified smelters/refiners |
|-----------------|--|
| RMAP Conformant | 221 |
| RMAP Active | 7 |
| Not Enrolled | 89 |
| Non-Conformant | 25 |

Country of Origin

Appendix B includes an aggregated list of countries of origin from which the reported facilities collectively source 3TGs, based on reasonable identification of country-of-origin data obtained via Assent's supply chain database (or other RCOI data, in the scenario Polestar decides to use alternative data sources). As mentioned in the above section, it is understood that overreporting might occur which could result in Appendix B having more countries than those strictly relevant to Polestar's products.

Steps to Be Taken to Mitigate Risk

As Polestar is unable to determine, following the due diligence summarized above, whether all of the necessary 3TGs material contained in its products qualifies as "DRC conflict free" within the meaning of Item 1.01(c)(2) of Form SD, it has taken, or intends to take, the following steps to improve the due diligence conducted to further mitigate any risk that the necessary 3TGs material in Polestar's products could originate from Covered Countries and benefit armed groups:

- Continue to evaluate upstream sources through a broader set of tools to evaluate risk. These include, but are not limited to:
 - Using a comprehensive smelter and refiner library with detailed status and notes for each entity.
 - Scanning for verifiable media sources on each smelter and refiner to flag risk issues.
 - Comparing the list of smelters/refiners against government watch and denied parties lists.
- Engage with our business partners and suppliers more closely and provide more information and training resources regarding responsible sourcing of 3TGs.
- Encourage business partners and suppliers to have due diligence procedures in place for their supply chains to improve the content of the responses from such suppliers.
- Continue to include a conflict minerals flow-down clause in new or renewed supplier contracts.
- Following the OECD Guidance process, increase the emphasis on clean and validated smelter and refiner information from the supply chain through feedback and detailed smelter analysis.

Polestar

Appendix A: Smelter List

Includes: mineral, smelter/refinery name, location

| Metal | Smelter Name | Smelter ID | RMAP Audit Status |
|--------------|---|-------------------|--------------------------|
| Gold | Advanced Chemical Company | CID000015 | Conformant |
| Gold | Aida Chemical Industries Co., Ltd. | CID000019 | Conformant |
| Gold | Agosi AG | CID000035 | Conformant |
| Gold | Almalyk Mining and Metallurgical Complex (AMMC) | CID000041 | Conformant |
| Gold | AngloGold Ashanti Corrego do Sitio Mineracao | CID000058 | Conformant |
| Gold | Argor-Heraeus S.A. | CID000077 | Conformant |
| Gold | Asahi Pretec Corp. | CID000082 | Conformant |
| Gold | Asaka Riken Co., Ltd. | CID000090 | Conformant |
| Gold | Aurubis AG | CID000113 | Conformant |
| Gold | Bangko Sentral ng Pilipinas (Central Bank of the Philippines) | CID000128 | Conformant |
| Gold | Boliden AB | CID000157 | Conformant |
| Gold | C. Hafner GmbH + Co. KG | CID000176 | Conformant |
| Gold | CCR Refinery—Glencore Canada Corporation | CID000185 | Conformant |
| Gold | Cendres + Metaux S.A. | CID000189 | Non-Conformant |
| Gold | Chimet S.p.A. | CID000233 | Conformant |
| Gold | Chugai Mining | CID000264 | Conformant |
| Gold | LT Metal Ltd. | CID000689 | Conformant |
| Gold | Heimerle + Meule GmbH | CID000694 | Conformant |
| Gold | Heraeus Metals Hong Kong Ltd. | CID000707 | Conformant |
| Gold | Heraeus Germany GmbH Co. KG | CID000711 | Conformant |
| Gold | Inner Mongolia Qiankun Gold and Silver Refinery Share Co., Ltd. | CID000801 | Conformant |
| Gold | Ishifuku Metal Industry Co., Ltd. | CID000807 | Conformant |
| Gold | Istanbul Gold Refinery | CID000814 | Conformant |
| Gold | Japan Mint | CID000823 | Conformant |
| Gold | Jiangxi Copper Co., Ltd. | CID000855 | Conformant |
| Gold | Asahi Refining USA Inc. | CID000920 | Conformant |
| Gold | Asahi Refining Canada Ltd. | CID000924 | Conformant |
| Gold | JX Nippon Mining & Metals Co., Ltd. | CID000937 | Conformant |
| Gold | Kazzinc | CID000957 | Conformant |
| Gold | Kennecott Utah Copper LLC | CID000969 | Conformant |
| Gold | Kojima Chemicals Co., Ltd. | CID000981 | Conformant |
| Gold | LS-NIKKO Copper Inc. | CID001078 | Conformant |
| Gold | Materion | CID001113 | Conformant |
| Gold | Matsuda Sangyo Co., Ltd. | CID001119 | Conformant |
| Gold | Metalor Technologies (Suzhou) Ltd. | CID001147 | Conformant |
| Gold | Metalor Technologies (Hong Kong) Ltd. | CID001149 | Conformant |
| Gold | Metalor Technologies (Singapore) Pte., Ltd. | CID001152 | Conformant |
| Gold | Metalor Technologies S.A. | CID001153 | Conformant |

Polestar

| | | | |
|------|---|-----------|----------------|
| Gold | Metalor USA Refining Corporation | CID001157 | Conformant |
| Gold | Metalurgica Met-Mex Penoles S.A. De C.V. | CID001161 | Conformant |
| Gold | Mitsubishi Materials Corporation | CID001188 | Conformant |
| Gold | Mitsui Mining and Smelting Co., Ltd. | CID001193 | Conformant |
| Gold | Nadir Metal Rafineri San. Ve Tic. A.S. | CID001220 | Conformant |
| Gold | Navoi Mining and Metallurgical Combinat | CID001236 | Conformant |
| Gold | Nihon Material Co., Ltd. | CID001259 | Conformant |
| Gold | MKS PAMP SA | CID001352 | Conformant |
| Gold | PT Aneka Tambang (Persero) Tbk | CID001397 | Conformant |
| Gold | PX Precinox S.A. | CID001498 | Conformant |
| Gold | Rand Refinery (Pty) Ltd. | CID001512 | Conformant |
| Gold | Royal Canadian Mint | CID001534 | Conformant |
| Gold | SEMPSA Joyeria Plateria S.A. | CID001585 | Conformant |
| Gold | Shandong Zhaojin Gold & Silver Refinery Co., Ltd. | CID001622 | Conformant |
| Gold | Sichuan Tianze Precious Metals Co., Ltd. | CID001736 | Conformant |
| Gold | Solar Applied Materials Technology Corp. | CID001761 | Conformant |
| Gold | Sumitomo Metal Mining Co., Ltd. | CID001798 | Conformant |
| Gold | Tanaka Kikinzoku Kogyo K.K. | CID001875 | Conformant |
| Gold | Shandong Gold Smelting Co., Ltd. | CID001916 | Conformant |
| Gold | Tokuriki Honten Co., Ltd. | CID001938 | Conformant |
| Gold | Umicore S.A. Business Unit Precious Metals Refining | CID001980 | Conformant |
| Gold | United Precious Metal Refining, Inc. | CID001993 | Conformant |
| Gold | Valcambi S.A. | CID002003 | Conformant |
| Gold | Western Australian Mint (T/a The Perth Mint) | CID002030 | Conformant |
| Gold | Yokohama Metal Co., Ltd. | CID002129 | Conformant |
| Gold | Zhongyuan Gold Smelter of Zhongjin Gold Corporation | CID002224 | Conformant |
| Gold | Gold Refinery of Zijin Mining Group Co., Ltd. | CID002243 | Conformant |
| Gold | SAFINA A.S. | CID002290 | Conformant |
| Gold | Umicore Precious Metals Thailand | CID002314 | Non-Conformant |
| Gold | Geib Refining Corporation | CID002459 | Conformant |
| Gold | MMTC-PAMP India Pvt., Ltd. | CID002509 | Conformant |
| Gold | KGHM Polska Miedz Spolka Akcyjna | CID002511 | Conformant |
| Gold | Singway Technology Co., Ltd. | CID002516 | Non-Conformant |
| Gold | Al Etihad Gold Refinery DMCC | CID002560 | Conformant |
| Gold | Emirates Gold DMCC | CID002561 | Conformant |
| Gold | T.C.A S.p.A | CID002580 | Conformant |
| Gold | REMONDIS PMR B.V. | CID002582 | Conformant |
| Gold | Marsam Metals | CID002606 | Non-Conformant |
| Gold | TOO Tau-Ken-Altyn | CID002615 | Conformant |
| Gold | Abington Reldan Metals, LLC | CID002708 | Conformant |
| Gold | SAAMP | CID002761 | Conformant |
| Gold | L'Orfebre S.A. | CID002762 | Conformant |

Polestar

| | | | |
|------|---|-----------|--|
| Gold | 8853 S.p.A. | CID002763 | Non-Conformant |
| Gold | Italpreziosi | CID002765 | Conformant |
| Gold | WIELAND Edelmetalle GmbH | CID002778 | Conformant |
| Gold | Ogussa Osterreichische Gold- und Silber-Scheideanstalt GmbH | CID002779 | Conformant |
| Gold | GGC Gujrat Gold Centre Pvt. Ltd. | CID002852 | Active |
| Gold | Bangalore Refinery | CID002863 | Conformant |
| Gold | SungEel HiMetal Co., Ltd. | CID002918 | Conformant |
| Gold | Planta Recuperadora de Metales SpA | CID002919 | Conformant |
| Gold | Safimet S.p.A | CID002973 | Non-Conformant |
| Gold | NH Recytech Company | CID003189 | Conformant |
| Gold | C.I Metales Procesados Industriales SAS | CID003421 | Active |
| Gold | Eco-System Recycling Co., Ltd. North Plant | CID003424 | Conformant |
| Gold | Eco-System Recycling Co., Ltd. West Plant | CID003425 | Conformant |
| Gold | Augmont Enterprises Private Limited | CID003461 | Active |
| Gold | Alexy Metals | CID003500 | Conformant |
| Gold | Sancus ZFS (L'Orfebre, SA) | CID003529 | Conformant |
| Gold | Metal Concentrators SA (Pty) Ltd. | CID003575 | Conformant |
| Gold | WEEEREFINING | CID003615 | Active |
| Gold | Atasay Kuyumculuk Sanayi Ve Ticaret A.S. | CID000103 | Outreach Required |
| Gold | Caridad | CID000180 | Outreach Required |
| Gold | Yunnan Copper Industry Co., Ltd. | CID000197 | Outreach Required |
| Gold | Daye Non-Ferrous Metals Mining Ltd. | CID000343 | In Communication |
| Gold | DSC (Do Sung Corporation) | CID000359 | Conformant |
| Gold | Dowa | CID000401 | Conformant |
| Gold | Eco-System Recycling Co., Ltd. East Plant | CID000425 | Conformant |
| Gold | JSC Novosibirsk Refinery | CID000493 | RMI Due Diligence Review —Unable to Proceed |
| Gold | Refinery of Seemine Gold Co., Ltd. | CID000522 | Outreach Required |
| Gold | Guoda Safina High-Tech Environmental Refinery Co., Ltd. | CID000651 | Outreach Required |
| Gold | Hangzhou Fuchunjiang Smelting Co., Ltd. | CID000671 | Outreach Required |
| Gold | Hunan Chenzhou Mining Co., Ltd. | CID000767 | Outreach Required |
| Gold | Hunan Guiyang yinxing Nonferrous Smelting Co., Ltd. | CID000773 | Outreach Required |
| Gold | HwaSeong CJ CO., LTD. | CID000778 | Communication Suspended —Not Interested |
| Gold | JSC Ekaterinburg Non-Ferrous Metal Processing Plant | CID000927 | RMI Due Diligence Review —Unable to Proceed |
| Gold | JSC Uralelectromed | CID000929 | RMI Due Diligence Review —Unable to Proceed |
| Gold | Kazakhmys Smelting LLC | CID000956 | In Communication |

Polestar

| | | | |
|------|---|-----------|--|
| Gold | Kyrgyzaltyn JSC | CID001029 | Non-Conformant |
| Gold | L'azurde Company For Jewelry | CID001032 | RMI Due Diligence Review —Unable to Proceed |
| Gold | Lingbao Gold Co., Ltd. | CID001056 | Outreach Required |
| Gold | Lingbao Jinyuan Tonghui Refinery Co., Ltd. | CID001058 | Outreach Required |
| Gold | Luoyang Zijin Yinhui Gold Refinery Co., Ltd. | CID001093 | Outreach Required |
| Gold | Moscow Special Alloys Processing Plant | CID001204 | RMI Due Diligence Review —Unable to Proceed |
| Gold | Ohura Precious Metal Industry Co., Ltd. | CID001325 | Conformant |
| Gold | OJSC “The Gulidov Krasnoyarsk Non-Ferrous Metals Plant” (OJSC Krastsvetmet) | CID001326 | RMI Due Diligence Review —Unable to Proceed |
| Gold | Penglai Penggang Gold Industry Co., Ltd. | CID001362 | Outreach Required |
| Gold | Prioksky Plant of Non-Ferrous Metals | CID001386 | RMI Due Diligence Review —Unable to Proceed |
| Gold | Sabin Metal Corp. | CID001546 | Communication Suspended —Not Interested |
| Gold | Samduck Precious Metals | CID001555 | Non-Conformant |
| Gold | Samwon Metals Corp. | CID001562 | Communication Suspended —Not Interested |
| Gold | Shandong Tiancheng Biological Gold Industrial Co., Ltd. | CID001619 | Outreach Required |
| Gold | SOE Shyolkovsky Factory of Secondary Precious Metals | CID001756 | RMI Due Diligence Review —Unable to Proceed |
| Gold | Great Wall Precious Metals Co., Ltd. of CBPM | CID001909 | Outreach Required |
| Gold | Tongling Nonferrous Metals Group Co., Ltd. | CID001947 | Outreach Required |
| Gold | Torecom | CID001955 | Conformant |
| Gold | Yamakin Co., Ltd. | CID002100 | Conformant |
| Gold | Morris and Watson | CID002282 | In Communication |
| Gold | Guangdong Jinding Gold Limited | CID002312 | Outreach Required |
| Gold | Fidelity Printers and Refiners Ltd. | CID002515 | RMI Due Diligence Review —Unable to Proceed |
| Gold | Shandong Humon Smelting Co., Ltd. | CID002525 | Outreach Required |
| Gold | Shenzhen Zhonghenglong Real Industry Co., Ltd. | CID002527 | Outreach Required |
| Gold | International Precious Metal Refiners | CID002562 | In Communication |
| Gold | Kaloti Precious Metals | CID002563 | RMI Due Diligence Review —Unable to Proceed |
| Gold | Sudan Gold Refinery | CID002567 | Outreach Required |

Polestar

| | | | |
|----------|--|-----------|--|
| Gold | Fujairah Gold FZC | CID002584 | Outreach Required |
| Gold | Industrial Refining Company | CID002587 | Non-Conformant |
| Gold | Shirpur Gold Refinery Ltd. | CID002588 | Outreach Required |
| Gold | Korea Zinc Co., Ltd. | CID002605 | Conformant |
| Gold | AU Traders and Refiners | CID002850 | Non-Conformant |
| Gold | Sai Refinery | CID002853 | Outreach Required |
| Gold | Modeltech Sdn Bhd | CID002857 | Non-Conformant |
| Gold | Kyshtym Copper-Electrolytic Plant ZAO | CID002865 | RMI Due Diligence Review —Unable to Proceed |
| Gold | Degussa Sonne / Mond Goldhandel GmbH | CID002867 | Outreach Required |
| Gold | Pease & Curren | CID002872 | Communication Suspended —Not Interested |
| Gold | JALAN & Company | CID002893 | Outreach Required |
| Gold | State Research Institute Center for Physical Sciences and Technology | CID003153 | Outreach Required |
| Gold | African Gold Refinery | CID003185 | RMI Due Diligence Review —Unable to Proceed |
| Gold | Gold Coast Refinery | CID003186 | Outreach Required |
| Gold | QG Refining, LLC | CID003324 | Outreach Required |
| Gold | Dijllah Gold Refinery FZC | CID003348 | Outreach Required |
| Gold | CGR Metals Pvt Ltd. | CID003382 | Outreach Required |
| Gold | Sovereign Metals | CID003383 | Outreach Required |
| Gold | Kundan Care Products Ltd. | CID003463 | In Communication |
| Gold | Emerald Jewel Industry India Limited (Unit 1) | CID003487 | In Communication |
| Gold | Emerald Jewel Industry India Limited (Unit 2) | CID003488 | In Communication |
| Gold | Emerald Jewel Industry India Limited (Unit 3) | CID003489 | In Communication |
| Gold | Emerald Jewel Industry India Limited (Unit 4) | CID003490 | In Communication |
| Gold | K.A. Rasmussen | CID003497 | Outreach Required |
| Gold | Sellem Industries Ltd. | CID003540 | Communication Suspended —Not Interested |
| Gold | MD Overseas | CID003548 | In Communication |
| Gold | Metallix Refining Inc. | CID003557 | In Communication |
| Gold | Super Dragon Technology Co., Ltd. | CID001810 | Outreach Required |
| Gold | Shenzhen CuiLu Gold Co., Ltd. | CID002750 | Outreach Required |
| Gold | Albino Mountinho Lda. | CID002760 | Outreach Required |
| Gold | ABC Refinery Pty Ltd. | CID002920 | Outreach Required |
| Gold | Gold by Gold Colombia | CID003641 | Conformant |
| Gold | Dongwu Gold Group | CID003663 | Outreach Required |
| Tantalum | Jiujiang Tanbre Co., Ltd. | CID000917 | Conformant |
| Tantalum | Mineracao Taboca S.A. | CID001175 | Conformant |
| Tantalum | Mitsui Mining and Smelting Co., Ltd. | CID001192 | Conformant |

Polestar

| | | | |
|----------|--|-----------|--|
| Tantalum | QuantumClean | CID001508 | Conformant |
| Tantalum | D Block Metals, LLC | CID002504 | Conformant |
| Tantalum | Jiujiang Zhongao Tantalum & Niobium Co., Ltd. | CID002506 | Conformant |
| Tantalum | Jiangxi Dinghai Tantalum & Niobium Co., Ltd. | CID002512 | Conformant |
| Tantalum | TANIOBIS Smelting GmbH & Co. KG | CID002550 | Conformant |
| Tantalum | Jiangxi Tuohong New Raw Material | CID002842 | Conformant |
| Tantalum | F&X Electro-Materials Ltd. | CID000460 | Conformant |
| Tantalum | XIMEI RESOURCES (GUANGDONG) LIMITED | CID000616 | Conformant |
| Tantalum | JiuJiang JinXin Nonferrous Metals Co., Ltd. | CID000914 | Conformant |
| Tantalum | AMG Brasil | CID001076 | Conformant |
| Tantalum | Metallurgical Products India Pvt., Ltd. | CID001163 | Conformant |
| Tantalum | NPM Silmet AS | CID001200 | Conformant |
| Tantalum | Ningxia Orient Tantalum Industry Co., Ltd. | CID001277 | Conformant |
| Tantalum | Yanling Jincheng Tantalum & Niobium Co., Ltd. | CID001522 | Conformant |
| Tantalum | Solikamsk Magnesium Works OAO | CID001769 | RMI Due Diligence Review —Unable to Proceed |
| Tantalum | Taki Chemical Co., Ltd. | CID001869 | Conformant |
| Tantalum | Telex Metals | CID001891 | Conformant |
| Tantalum | Ulba Metallurgical Plant JSC | CID001969 | Conformant |
| Tantalum | Hengyang King Xing Lifeng New Materials Co., Ltd. | CID002492 | Conformant |
| Tantalum | FIR Metals & Resource Ltd. | CID002505 | Conformant |
| Tantalum | XinXing HaoRong Electronic Material Co., Ltd. | CID002508 | Conformant |
| Tantalum | KEMET de Mexico | CID002539 | Conformant |
| Tantalum | TANIOBIS Co., Ltd. | CID002544 | Conformant |
| Tantalum | TANIOBIS GmbH | CID002545 | Conformant |
| Tantalum | Materion Newton Inc. | CID002548 | Conformant |
| Tantalum | TANIOBIS Japan Co., Ltd. | CID002549 | Conformant |
| Tantalum | Global Advanced Metals Boyertown | CID002557 | Conformant |
| Tantalum | Global Advanced Metals Aizu | CID002558 | Conformant |
| Tantalum | Resind Industria e Comercio Ltda. | CID002707 | Conformant |
| Tantalum | RFH Yancheng Jinye New Material Technology Co., Ltd. | CID003583 | Conformant |
| Tantalum | 5D Production OU | CID003926 | Outreach Required |
| Tin | Chenzhou Yunxiang Mining and Metallurgy Co., Ltd. | CID000228 | Conformant |
| Tin | Alpha | CID000292 | Conformant |
| Tin | PT Aries Kencana Sejahtera | CID000309 | Conformant |
| Tin | Dowa | CID000402 | Conformant |
| Tin | Fenix Metals | CID000468 | Conformant |

Polestar

| | | | |
|-----|--|-----------|--|
| Tin | Gejiu Non-Ferrous Metal Processing Co., Ltd. | CID000538 | Conformant |
| Tin | Gejiu Zili Mining And Metallurgy Co., Ltd. | CID000555 | Non-Conformant |
| Tin | Malaysia Smelting Corporation (MSC) | CID001105 | Conformant |
| Tin | Mineracao Taboca S.A. | CID001173 | Conformant |
| Tin | Minsur | CID001182 | Conformant |
| Tin | Mitsubishi Materials Corporation | CID001191 | Conformant |
| Tin | O.M. Manufacturing (Thailand) Co., Ltd. | CID001314 | Conformant |
| Tin | PT Artha Cipta Langgeng | CID001399 | Conformant |
| Tin | PT Babel Inti Perkasa | CID001402 | Conformant |
| Tin | PT Refined Bangka Tin | CID001460 | Conformant |
| Tin | PT Stanindo Inti Perkasa | CID001468 | Conformant |
| Tin | PT Timah Tbk Kundur | CID001477 | Conformant |
| Tin | PT Timah Tbk Mentok | CID001482 | Conformant |
| Tin | PT Timah Nusantara | CID001486 | Active |
| Tin | PT Tinindo Inter Nusa | CID001490 | Non-Conformant |
| Tin | Rui Da Hung | CID001539 | Conformant |
| Tin | Thaisarco | CID001898 | Conformant |
| Tin | White Solder Metalurgia e Mineracao Ltda. | CID002036 | Conformant |
| Tin | Yunnan Chengfeng Non-ferrous Metals Co., Ltd. | CID002158 | Conformant |
| Tin | CV Venus Inti Perkasa | CID002455 | Conformant |
| Tin | Magnum's Minerai's Metais e Ligas Ltda. | CID002468 | Conformant |
| Tin | PT ATD Makmur Mandiri Jaya | CID002503 | Conformant |
| Tin | O.M. Manufacturing Philippines, Inc. | CID002517 | Conformant |
| Tin | Super Ligas | CID002756 | Active |
| Tin | PT Menara Cipta Mulia | CID002835 | Conformant |
| Tin | PT Bangka Serumpun | CID003205 | Conformant |
| Tin | PT Rajawali Rimba Perkasa | CID003381 | Conformant |
| Tin | PT Mitra Sukses Globalindo | CID003449 | Conformant |
| Tin | CRM Fundicao De Metais E Comercio De Equipamentos Eletronicos Do Brasil Ltda | CID003486 | Conformant |
| Tin | EM Vinto | CID000438 | Conformant |
| Tin | Estanho de Rondonia S.A. | CID000448 | Conformant |
| Tin | Gejiu Kai Meng Industry and Trade LLC | CID000942 | Non-Conformant |
| Tin | China Tin Group Co., Ltd. | CID001070 | Conformant |
| Tin | Metallic Resources, Inc. | CID001142 | Conformant |
| Tin | Jiangxi New Nanshan Technology Ltd. | CID001231 | Conformant |
| Tin | Novosibirsk Tin Combine | CID001305 | RMI Due Diligence Review —Unable to Proceed |
| Tin | Operaciones Metalurgicas S.A. | CID001337 | Conformant |
| Tin | PT Babel Surya Alam Lestari | CID001406 | Conformant |
| Tin | PT Mitra Stania Prima | CID001453 | Conformant |
| Tin | PT Prima Timah Utama | CID001458 | Conformant |

Polestar

| | | | |
|-----|---|-----------|-------------------|
| Tin | Gejiu Yunxin Nonferrous Electrolysis Co., Ltd. | CID001908 | Non-Conformant |
| Tin | VQB Mineral and Trading Group JSC | CID002015 | Outreach Required |
| Tin | Tin Smelting Branch of Yunnan Tin Co., Ltd. | CID002180 | Conformant |
| Tin | Melt Metais e Ligas S.A. | CID002500 | Non-Conformant |
| Tin | CV Ayi Jaya | CID002570 | Conformant |
| Tin | Electro-Mechanical Facility of the Cao Bang Minerals & Metallurgy Joint Stock Company | CID002572 | Non-Conformant |
| Tin | Nghe Tinh Non-Ferrous Metals Joint Stock Company | CID002573 | Outreach Required |
| Tin | Tuyen Quang Non-Ferrous Metals Joint Stock Company | CID002574 | Outreach Required |
| Tin | PT Rajehan Ariq | CID002593 | Conformant |
| Tin | An Vinh Joint Stock Mineral Processing Company | CID002703 | Outreach Required |
| Tin | Resind Industria e Comercio Ltda. | CID002706 | Conformant |
| Tin | Aurubis Beerse | CID002773 | Conformant |
| Tin | Aurubis Berango | CID002774 | Conformant |
| Tin | Modeltech Sdn Bhd | CID002858 | Non-Conformant |
| Tin | Guangdong Hanhe Non-Ferrous Metal Co., Ltd. | CID003116 | Conformant |
| Tin | Chifeng Dajingzi Tin Industry Co., Ltd. | CID003190 | Conformant |
| Tin | Pongpipat Company Limited | CID003208 | Outreach Required |
| Tin | Tin Technology & Refining | CID003325 | Conformant |
| Tin | Dongguan CiEXPO Environmental Engineering Co., Ltd. | CID003356 | Non-Conformant |
| Tin | Luna Smelter, Ltd. | CID003387 | Conformant |
| Tin | Yunnan Yunfan Non-ferrous Metals Co., Ltd. | CID003397 | Non-Conformant |
| Tin | Precious Minerals and Smelting Limited | CID003409 | Non-Conformant |
| Tin | Gejiu City Fuxiang Industry and Trade Co., Ltd. | CID003410 | Outreach Required |
| Tin | CRM Synergies | CID003524 | Conformant |
| Tin | Fabrica Auricchio Industria e Comercio Ltda. | CID003582 | Conformant |
| Tin | PT Belitung Industri Sejahtera | CID001421 | Active |
| Tin | PT Bukit Timah | CID001428 | Conformant |
| Tin | PT Panca Mega Persada | CID001457 | In Communication |
| Tin | PT Sariwiguna Binasentosa | CID001463 | Conformant |
| Tin | PT Tirus Putra Mandiri | CID002478 | In Communication |
| Tin | PT Cipta Persada Mulia | CID002696 | Conformant |
| Tin | PT Sukses Inti Makmur | CID002816 | Conformant |
| Tin | PT Tommy Utama | CID001493 | Conformant |
| Tin | PT Premium Tin Indonesia | CID000313 | Conformant |
| Tin | DS Myanmar | CID003831 | Conformant |
| Tin | PT Putera Sarana Shakti (PT PSS) | CID003868 | Conformant |
| Tin | PT Bangka Tin Industry | CID001419 | In Communication |

Polestar

| | | | |
|----------|--|-----------|--|
| Tin | PT Bangka Prima Tin | CID002776 | Conformant |
| Tungsten | A.L.M.T. Corp. | CID000004 | Conformant |
| Tungsten | Kennametal Huntsville | CID000105 | Conformant |
| Tungsten | Guangdong Xianglu Tungsten Co., Ltd. | CID000218 | Conformant |
| Tungsten | Chongyi Zhangyuan Tungsten Co., Ltd. | CID000258 | Conformant |
| Tungsten | Hunan Chenzhou Mining Co., Ltd. | CID000766 | Conformant |
| Tungsten | Japan New Metals Co., Ltd. | CID000825 | Conformant |
| Tungsten | Ganzhou Huaxing Tungsten Products Co., Ltd. | CID000875 | Conformant |
| Tungsten | Kennametal Fallon | CID000966 | Conformant |
| Tungsten | Xiamen Tungsten Co., Ltd. | CID002082 | Conformant |
| Tungsten | Ganzhou Jiangwu Ferrotungsten Co., Ltd. | CID002315 | Conformant |
| Tungsten | Malipo Haiyu Tungsten Co., Ltd. | CID002319 | Conformant |
| Tungsten | Jiangxi Gan Bei Tungsten Co., Ltd. | CID002321 | Conformant |
| Tungsten | Ganzhou Seadragon W & Mo Co., Ltd. | CID002494 | Conformant |
| Tungsten | Asia Tungsten Products Vietnam Ltd. | CID002502 | Conformant |
| Tungsten | H.C. Starck Tungsten GmbH | CID002541 | Conformant |
| Tungsten | Masan High-Tech Materials | CID002543 | Conformant |
| Tungsten | Ganzhou Haichuang Tungsten Co., Ltd. | CID002645 | Conformant |
| Tungsten | Philippine Chuangxin Industrial Co., Inc. | CID002827 | Conformant |
| Tungsten | ACL Metais Eireli | CID002833 | Non-Conformant |
| Tungsten | Lianyou Metals Co., Ltd. | CID003407 | Conformant |
| Tungsten | Albasteel Industria e Comercio de Ligas Para Fundicao Ltd. | CID003427 | Non-Conformant |
| Tungsten | Cronimet Brasil Ltda | CID003468 | Conformant |
| Tungsten | CNMC (Guangxi) PGMA Co., Ltd. | CID000281 | Outreach Required |
| Tungsten | Global Tungsten & Powders LLC | CID000568 | Conformant |
| Tungsten | Hunan Jintai New Material Co., Ltd. | CID000769 | Non-Conformant |
| Tungsten | Wolfram Bergbau und Hutten AG | CID002044 | Conformant |
| Tungsten | Jiangxi Minmetals Gao'an Non-ferrous Metals Co., Ltd. | CID002313 | Communication Suspended —Not Interested |
| Tungsten | Jiangxi Yaosheng Tungsten Co., Ltd. | CID002316 | Conformant |
| Tungsten | Jiangxi Xinsheng Tungsten Industry Co., Ltd. | CID002317 | Conformant |
| Tungsten | Jiangxi Tonggu Non-ferrous Metallurgical & Chemical Co., Ltd. | CID002318 | Conformant |
| Tungsten | Xiamen Tungsten (H.C.) Co., Ltd. | CID002320 | Conformant |
| Tungsten | Hunan Shizhuyuan Nonferrous Metals Co., Ltd. Chenzhou Tungsten Products Branch | CID002513 | Conformant |
| Tungsten | TANIOBIS Smelting GmbH & Co. KG | CID002542 | Conformant |
| Tungsten | Jiangwu H.C. Starck Tungsten Products Co., Ltd. | CID002551 | Conformant |
| Tungsten | Niagara Refining LLC | CID002589 | Conformant |
| Tungsten | China Molybdenum Tungsten Co., Ltd. | CID002641 | Conformant |
| Tungsten | Hydrometallurg, JSC | CID002649 | RMI Due Diligence Review —Unable to Proceed |
| Tungsten | Unecha Refractory metals plant | CID002724 | Non-Conformant |

Polestar

| | | | |
|----------|-----------------------------------|-----------|--|
| Tungsten | Moliren Ltd. | CID002845 | RMI Due Diligence Review —Unable to Proceed |
| Tungsten | JSC “Kirovgrad Hard Alloys Plant” | CID003408 | RMI Due Diligence Review —Unable to Proceed |
| Tungsten | NPP Tyazhmetprom LLC | CID003416 | RMI Due Diligence Review —Unable to Proceed |
| Tungsten | Hubei Green Tungsten Co., Ltd. | CID003417 | Conformant |
| Tungsten | Artek LLC | CID003553 | RMI Due Diligence Review —Unable to Proceed |
| Tungsten | Fujian Xinlu Tungsten Co., Ltd. | CID003609 | Conformant |
| Tungsten | OOO “Technolom” 2 | CID003612 | RMI Due Diligence Review —Unable to Proceed |
| Tungsten | OOO “Technolom” 1 | CID003614 | RMI Due Diligence Review —Unable to Proceed |
| Tungsten | LLC Vostok | CID003643 | RMI Due Diligence Review —Unable to Proceed |
| Tungsten | YUDU ANSHENG TUNGSTEN CO., LTD. | CID003662 | Outreach Required |
| Tungsten | HANNAE FOR T Co., Ltd. | CID003978 | Outreach Required |
| Tungsten | DONGKUK INDUSTRIES CO., LTD. | CID004060 | In Communication |

Appendix B: Countries of Origin

Below is an aggregated list of the countries of origin from which the reported facilities collectively source 3TGs, based on information provided by suppliers and from the RMI.

| | | | |
|----------------------------------|---|---------------------------------|----------------------|
| Afghanistan | Czechia | Kenya | Rwanda |
| Åland Islands | Democratic Republic of Congo ¹ | Korea | Samoa |
| Albania | Djibouti | Kyrgyzstan | Saudi Arabia |
| American Samoa | Dominica | Liberia | Senegal |
| Andorra | Dominican Republic | Liechtenstein | Sierra Leone |
| Angola | Ecuador | Lithuania | Singapore |
| Argentina | Egypt | Luxembourg | Slovakia |
| Armenia | Eritrea | Madagascar | Slovenia |
| Aruba | Estonia | Malaysia | South Africa |
| Australia | Ethiopia | Mali | South Sudan |
| Austria | Finland | Mauritania | Spain |
| Azerbaijan | France | Mexico | Sudan |
| Bahamas | Georgia | Mongolia | Suriname |
| Barbados | Germany | Morocco | Sweden |
| Belarus | Ghana | Mozambique | Switzerland |
| Belgium | Guam | Myanmar | Taiwan |
| Benin | Guatemala | Namibia | Tajikistan |
| Bermuda | Guinea | Netherlands | Tanzania |
| Bolivia (Plurinational State of) | Guyana | New Zealand | Thailand |
| Brazil | Honduras | Nicaragua | Turkey |
| Bulgaria | Hong Kong | Niger | Uganda |
| Burkina Faso | Hungary | Nigeria | United Arab Emirates |
| Burundi | India | Norway | United Kingdom |
| Cambodia | Indonesia | Panama | United States |
| Canada | Ireland | Papua New Guinea | Uzbekistan |
| Central African Republic | Israel | Peru | Viet Nam |
| Chile | Italy | Philippines | Zambia |
| China | Japan | Poland | Zimbabwe |
| Colombia | Jersey | Portugal | |
| Congo | Kazakhstan | Russian Federation ² | |

¹ 68 of 87 smelters are conformant, 14 are under RMI due diligence review, active or outreach required. The reimaging 5 are non-conformant and flagging will be done down the supply chain.

² Smelters are several layers down the supply chain and the sourcing from their mines were started much earlier than when orders were placed for assembly. After the invasion of Ukraine, the mines are identified as high-r risk. The smelters sourcing from these mines will be non-conformant and flagging will be done down the supply chain for these smelters within the CMR campaign program.

Appendix C: CMRT Declaration Rejection/Approval Criteria

The following tables map the Assent Sustainability Platform's status outputs and CMRT logic structure when determining supplier conflict mineral statuses as displayed on the dashboard. Using this table, and referencing the CMRT questions listed above, users will be able to determine what answers were provided by their suppliers to earn their conflict minerals statuses.

| Supplier Status | Description |
|--------------------|---|
| Not Submitted | A CMRT has not been submitted by the supplier |
| Complete | A CMRT has been submitted, and is valid and complete |
| Incomplete | A supplier with parts associated to them has submitted a partially completed Product-Level or User-Defined CMRT |
| Invalid Submission | A CMRT has been submitted and deemed invalid based on contradicting responses in the template |
| Out of Scope | The supplier is out of scope for conflict minerals and does not need to be contacted |